

Date.....

This Agreement is made and entered into at **CGS-CIMB Securities (Thailand) Co., Ltd.**, hereinafter referred to as the “**Company**”

I/We (Client's name)....., hereinafter referred to as the “**Client**”

The Client opens a trading account with the Company, **account number**.....and a mutual fund account,
account number.....

WHEREAS, the client desires the company to subscribe or redeem investment units and securities by the Stock to Fund approaches, the Client agree to enter into this agreement in the following terms and conditions.

Clause 1. If the Client desire to purchase investment units, the client shall consent and assign the company to sell the securities of the client's account in the sufficient value that the Client has to pay for such investment unit subscription. This can be considered that the Client has already received money of sale of securities from the Company.

Clause 2. If the Client desires to purchase securities, the Client agrees and assigns the company to redeem the investment units of the Client's account in the sufficient value that the Client has to pay for such securities purchasing. This can be considered that the Client has already receive money of redemption of investment units from the Company.

Clause 3. The Client agrees to authorize the company to subscribe/redeem investment units according to the name list of investment units and/or funds determined by the Company.

Clause 4. The Client agrees to allow the Company to pay for the investment unit subscription and receive for the investment units' redemption fund follow the bank automatic transfer method (ATS)

Clause 5. The Client agrees that the Company shall exercise its discretion to perform or not to perform any act for the benefit of the Client for the investment unit settlement, unit redemption receiving, securities clearing, and sale of securities payment. The Client agrees to accept any performance of the Company and will not claim any damages to the Company.

Clause 6. The Company shall not be liable to the Client due to the Company cannot subscribe/redeem the investment unit for any reason or the Company has not been allocated or not fully allocated to the number of the Client demand or the Company declines to subscribe/redeem investment units. In case of such events, the Client agrees that the Company shall transfer the Client's money to the bank account of the Client as it deems appropriate.

Clause 7. The Client acknowledges and understands the rules; investment methods for investment units well, and include acknowledges the risk of investment unit transaction that the amount of return to be received there from by the Client may either be more or less than that of the money in which the investors initially invested

Clause 8. The Client, having read the fund prospectus and other documents relating to the investment units offering, warning, risk disclosure, risk acknowledgment and conflict of Interest, and agrees to be bound and complies with the term and conditions set forth in the fund prospectus in all respects.

Clause 9. Both parties have the right to terminate this Agreement by inform the other party in advance not less than 7 (seven) days.

Clause 10. This Agreement is a part of the brokerage/agency agreement which the Client made with the Company.

IN WITNESS WHEREOF, the Client, having read and understood thoroughly the terms hereof and seen that it is consistent with your intentions in every respect, subscribed his/her name and seal (if any) in presence of witnesses.

Signed.....**Client**
(.....)

Signed.....**Investment Consultant**
(.....)

Signed.....**Witness/ Officer**
(.....)

Power of Attorney for Stock To Fund Transaction

Date.....

I/We..... Identification Card Number.....

Address.....

..... Telephone/ Mobile No.....

On behalf of "Client" of CGS-CIMB Securities (Thailand) Co., Ltd. or "Company"

I/We would like to give authorization to the Company or the person entrusted by the Company to act on behalf of the Client with the details as follows:

Clause 1. Submit an investment unit subscription / redemption order according to fund list or the fund determined by the Company.

Clause 2. Signing of investment unit orders and/or subscription/redemption order

Clause 3. Perform any action to achieve the objectives under the terms of *Memorandum of Agreement Services Trading the Mutual Funds and Securities (Stock to Fund)* in all respects.

Any actions taken by the Company or any person under the scope of this Power of Attorney shall be bound on the Client in all respects as if the Client is done by himself.

As evidence of this, the Client/Grantor has subscribed his/her name and seal (if any) in presence of witnesses and considers that this power of attorney is as part of *Memorandum of Agreement Services Trading the Mutual Funds and Securities (Stock to Fund)*

Signed.....Client

(.....)

Grantor

Signed.....Company

(.....)

On behalf of CGS-CIMB Securities (Thailand) Co., Ltd.

Grantee

Signed.....Witness

(.....)

Signed.....Witness

(.....)

Note

- The Grantor shall present a copy of Identification card and signed as attached
- The power of Attorney shall attach 30 Baht duty stamp